



H-A

0360
PATENT

Attorney Docket No. 3001 P 015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Patent Application Of:)
Kenneth Kiron and Kevin S. Bander)
Application No. 09/840,583) Group Art Unit: 2165
Confirmation No. 4046)
Filed: April, 23, 2001) Examiner:
For: Open End Mutual Fund Securitization Process)

Box Patent Application
Commissioner For Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

This replies to the Notice to File Corrected Application Papers mailed May 17, 2001, a copy of which is enclosed. The Specification and Drawings were indicated as informal because of being submitted on incorrect paper size. In response to the Notice to File Corrected Application Papers, replacement Specification pages and substitute Drawings on A4 paper are enclosed. The replacement pages contain no new matter.

Respectfully submitted,

Dated: 7/11/01

Edward L. Bishop, Reg. No. 39,110
Wallenstein & Wagner, Ltd.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
312.554.3300

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231 on

July 11, 2001

Kathleen Rundquist/124438.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,583	04/23/2001	Kenneth Kiron	3001 P 015

CONFIRMATION NO. 4046

FORMALITIES LETTER



OC000000006086770

Edward L. Bishop
Wallenstein & Wagner, Ltd.
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6630

Date Mailed: 05/17/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

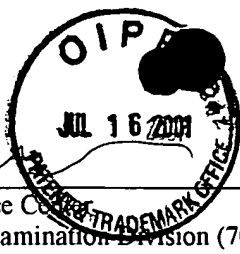
- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - 1-21 do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). *The drawings filed are unacceptable because:
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - are not on the correct size paper (see 37 CFR 1.84(f)) The size of the sheets on which drawings are made must be either 21.0 cm. by 29.7 cm. (DIN size A4) or 21.6 cm. by 27.9 cm (8 ½ by 11 inches);

RECEIVED
MAY 23 2001

*A copy of this notice **MUST** be returned with the reply.*

WALLENSTEIN & WAGNER
ATTORNEYS AT LAW
CHICAGO, ILLINOIS


Customer Service Center
Initial Patent Examination Division (703) 308-1202



PART 2 - COPY TO BE RETURNED WITH RESPONSE